

**Gautami Gupta & Associates**  
**Company Secretaries**

Office: 69/208, Danakhori, Kanpur -208001

Email: [csrgautami95@gmail.com](mailto:csrgautami95@gmail.com)  
Contact: 7275023050

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER, 2019**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and its press release on 3<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 2<sup>nd</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

Accordingly, I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **CARBON SPECIALITIES LIMITED**, having its Registered Office at **53/10, Naya Ganj Kanpur-208001 (U.P.)** (hereinafter refer to as 'Company'), having paid up share capital of **Rs. 5,55,73,000/- (Rupees Five Crores Fifty-Five Lakhs Seventy Three Thousand Only)** divided into **55,57,300 (Fifty-Five Lakhs Fifty-Seven Thousand Three Hundred)** Equity Shares of **Rs. 10/- (Rupees Ten Only)** each maintained by the Registrar and Share Transfer Agent of the Company **ABS Consultant Private Limited** pertaining to transfer of equity shares of the company for the period beginning from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** (hereinafter called as 'period') for the purpose of issuing a Certificate under sub-regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to Listing Agreement entered into by Company with **The Calcutta Stock Exchange Limited (CSE)** and based on the information provided by the Company, I hereby certify that:

- the Company has not received any request for transfer, transmission, sub-division, splitting, renewal, exchange and consolidation of share certificates.
- there were no instances of exchange or endorsement of calls/allotment monies.
- the Company has not issued any debentures hence the reporting of the same is not applicable.

**For GAUTAMI GUPTA & ASSOCIATES**

**Company Secretaries**  
**For GAUTAMI GUPTA & ASSOCIATES**  
**COMPANY SECRETARIES**

*Gautami Gupta*  
Gautami Gupta  
Proprietor  
ACS No. 57547  
C.P. No. 21796  
Gautami Gupta  
(Proprietor)

ACS: 57547 CP No.: 21796  
UDIN: A057547A000192211

Place: Kanpur  
Date: Oct 31, 2019